RESOLUTION NO. #16-010

LONE STAR GROUNDWATER CONSERVATION DISTRICT

RESOLUTION ADOPTING LIST OF QUALIFIED BROKERS AUTHORIZED TO ENGAGE IN INVESTMENT TRANSACTIONS WITH LONE STAR GROUNDWATER CONSERVATION DISTRICT

THE STATE OF TEXAS §

COUNTY OF MONTGOMERY §

WHEREAS, the Board of Directors of the Lone Star Groundwater Conservation District (the "District") is an administrative agency organized and existing under the laws of the State of Texas; and

WHEREAS, Chapter 2256, Texas Government Code, as amended, requires that the Board of Directors annually review, revise and adopt a list of qualified brokers that are authorized to engage in investment transactions with the District; and

WHEREAS, the Budget and Finance Development Committee met August 30, 2016 to review staff's recommended changes to the list of qualified brokers authorized to engage in investment transaction with the District for recommendation to the Board of Directors for acceptance and approval;

NOW, THEREFORE, BE IT RESOLVED BY THE BOARD OF DIRECTORS OF THE LONE STAR GROUNDWATER CONSERVATION DISTRICT THAT:

1. The Board of Directors hereby adopts the list of financial institutions, brokers and dealers attached hereto as Exhibit "A" as the list of qualified brokers that are authorized to engage in investment transactions with the District.

2. The provisions of this Resolution shall be effective as of its date of approval by the Board of Directors and shall remain in effect until modified by action of the Board of Directors, and any resolution heretofore adopted by the Board of Directors adopting a list of qualified brokers that are authorized to engage in investment transactions with the District shall be and is hereby revoked as of the effective date of this Resolution.

3. The General Manager is further authorized to take any and all reasonable action necessary for the implementation of this resolution.

AND IT IS SO ORDERED.

PASSED AND ADOPTED this 13th day of September, 2016.

LONE STAR GROUNDWATER CONSERVATION DISTRICT

By: _____
Richard J. Tramm, President

ATTEST:

_____
Rick Moffatt

EXHIBIT "A"
LIST OF AUTHORIZED BROKERS

Effective September 13, 2016

Allegiance Bank Texas
Amegy Bank, N.A.
American Bank of Commerce (ABC Bank)
American Bank of Texas
Austin Capital Bank SSB
Bank of America N.A./Merrill Lynch
Bank of Texas/BOKF, N.A.
Bank of the Ozarks
Bank of the West BBVA – Compass Bank
Beal Bank SSB
Blackrock Investments, Inc.
BOSC, Inc.
Branch Banking and Trust Company (BB&T)
Business Bank of Texas, N.A.
Cadence Bank, N.A.
Capital Bank of Texas
Capital One, N.A.
Central Bank
Chasewood Bank
Citibank N.A./Citigroup
Coastal Securities, Inc.
Comerica Bank
Commercial State Bank
Community Bank of Texas
East West Bank
Edward Jones
Federated Investors Inc.
Fidelity Investments
First Bank and Trust East Texas
First Citizens Bank
First Financial Bank, N.A.
First National Bank Texas/First Convenience Bank
First Service Credit Union
First Southwest Company
First Southwest Asset Management, Inc.
First State Bank Central Texas
First Texas Bank
Frost Bank
Green Bank, N.A.
Guaranty Bank & Trust, N.A.
Heritage Bank
Herring Bank
Hilltop Securities
HomeTown Bank, N.A.
IberiaBank
Icon Bank of Texas, N.A.
Independent Bank
International Bank of Commerce
Inter National Bank
J.P. Morgan Securities LLC
JPMorgan Chase Bank, N.A.
Legacy Texas Bank
Legg Mason, Inc.
LOGIC (Local Gov't. Investment Cooperative)
Lone Star Bank
Lone Star Investment Pool/First Public, LLC
Lowery Bank, a division of Huntington State Bank
MidSouth Bank, N.A.
Moody National Bank
Morgan Stanley/Smith Barney
NewFirst National Bank
Pioneer Bank
PlainsCapital Bank
Plains State Bank
Post Oak Bank
Preferred Bank
Prosperity Bank
Raymond James
R Bank
RBC Capital Markets/RBC Investments
Regions Bank
Robert W. Baird & Company, Inc.
Sovereign Bank
Spirit of Texas Bank SSB
State Street Bank & Trust Co.
Texan Bank

EXHIBIT "A"
LIST OF AUTHORIZED BROKERS

Texas Capital Bank
Texas Citizens Bank
Texas C.L.A.S.S.
Texas Exchange Bank
Texas First Bank
TexPool/TexPool Prime
Tex Star Investment Pool
The Bank of New York Mellon
The Bank of New York Mellon Trust Company, N.A.
The Bank of River Oaks
The First National Bank of Bastrop
The Independent Bankers Bank (TIB)
The State Bank of Texas
Third Coast Bank S.S.B.
Trustmark National Bank
UBS Financial Services, Inc.
UBS Securities LLC.
United Bank of El Paso del Norte
United Texas Bank
Unity National Bank
U.S. Bank, N.A.
Wallis State Bank
Wells Fargo Advisors
Wells Fargo Bank, N.A.
Wells Fargo Investments, LLC
Wells Fargo Securities, LLC
Westbound Bank
West Star Bank
Whitney Bank
Woodforest National Bank
Zions First National Bank